

Amended U.S. Individual Income Tax Return

(Rev. January 2017)

Information about Form 1040X and its separate instructions is at www.irs.gov/form1040x.

This return is for calendar year 2016 2015 2014 2013

Other year. Enter one: calendar year or fiscal year (month and year ended):

Your first name and initial: K. [REDACTED] Last name: [REDACTED] (Lovins) Your social security number: [REDACTED]

If a joint return, spouse's first name and initial: Last name: Spouse's social security number:

Current home address (number and street). If you have a P.O. box, see Instructions. Apt. no. Your phone number:

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).
Box Elder SD 57719

Foreign country name Foreign province/state/county Foreign postal code

Amended return filing status. You must check one box even if you are not changing your filing status. **Caution:** In general, you can't change your filing status from joint to separate returns after the due date.
 Single Head of household (If the qualifying person is a child but not your dependent, see instructions.)
 Married filing jointly Married filing separately Qualifying widow(er)

Full-year coverage. If all members of your household have full-year minimal essential health care coverage, check "Yes." Otherwise, check "No."
 Yes No

Use Part III on the back to explain any changes

	A. Original amount or as previously adjusted (see instructions)	B. Net change—amount of increase or (decrease)—explain in Part III	C. Correct amount
Income and Deductions			
1 Adjusted gross income. If net operating loss (NOL) carryback is included, check here <input type="checkbox"/>	1 33,156.	-33,156.	0.
2 Itemized deductions or standard deduction	2 6,300.	0.	6,300.
3 Subtract line 2 from line 1	3 26,856.	-33,156.	-6,300.
4 Exemptions. If changing, complete Part I on page 2 and enter the amount from line 29	4 4,050.	0.	4,050.
5 Taxable income. Subtract line 4 from line 3	5 22,806.	-33,156.	-10,350.
Tax Liability			
6 Tax. Enter method(s) used to figure tax (see instructions): <u>Table</u>	6 2,960.	-2,960.	0.
7 Credits. If general business credit carryback is included, check here <input type="checkbox"/>	7 1,500.	-1,500.	0.
8 Subtract line 7 from line 6. If the result is zero or less, enter -0-	8 1,460.	-1,460.	0.
9 Health care: individual responsibility (see instructions)	9 0.	0.	0.
10 Other taxes	10 1,634.	-1,634.	0.
11 Total tax. Add lines 8, 9, and 10	11 3,094.	-3,094.	0.
Payments			
12 Federal income tax withheld and excess social security and tier 1 RRTA tax withheld (If changing, see instructions)	12 7,228.	1225	8453
13 Estimated tax payments, including amount applied from prior year's return	13 0.	0.	0.
14 Earned income credit (EIC)	14 0.	0.	0.
15 Refundable credits from: <input type="checkbox"/> Schedule 8812 Form(s) <input type="checkbox"/> 2439 <input type="checkbox"/> 4136 <input type="checkbox"/> 8863 <input type="checkbox"/> 8885 <input type="checkbox"/> 8962 or <input type="checkbox"/> other (specify):	15 1,000.	-1,000.	0.
16 Total amount paid with request for extension of time to file, tax paid with original return, and additional tax paid after return was filed	16		0.
17 Total payments. Add lines 12 through 15, column C, and line 16	17		
Refund or Amount You Owe			
18 Overpayment, if any, as shown on original return or as previously adjusted by the IRS	18		5,134.
19 Subtract line 18 from line 17 (If less than zero, see instructions.)	19		3319.
20 Amount you owe. If line 11, column C, is more than line 19, enter the difference	20		3319.
21 If line 11, column C, is less than line 19, enter the difference. This is the amount overpaid on this return	21		3319.
22 Amount of line 21 you want refunded to you	22		3319.
23 Amount of line 21 you want applied to your (enter year): estimated tax	23		

Complete and sign this form on Page 2.

Part I Exemptions

Complete this part **only** if you are increasing or decreasing the number of exemptions (personal and dependents) claimed on line 6d of the return you are amending.

See Form 1040 or Form 1040A instructions and Form 1040X instructions.

	A. Original number of exemptions or amount reported or as previously adjusted	B. Net change	C. Correct number or amount
24 Yourself and spouse. Caution: If someone can claim you as a dependent, you can't claim an exemption for yourself	24	0	—
25 Your dependent children who lived with you	25 0	0	0
26 Your dependent children who didn't live with you due to divorce or separation	26 0	0	0
27 Other dependents	27 0	0	0
28 Total number of exemptions. Add lines 24 through 27	28	0	
29 Multiply the number of exemptions claimed on line 28 by the exemption amount shown in the instructions for line 29 for the year you are amending. Enter the result here and on line 4 on page 1 of this form.	29	0.	
30 List ALL dependents (children and others) claimed on this amended return. If more than 4 dependents, see instructions.			

(a) First name	Last name	(b) Dependent's social security number	(c) Dependent's relationship to you	(d) Check box if qualifying child for child tax credit (see instructions)
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

Part II Presidential Election Campaign Fund

Checking below won't increase your tax or reduce your refund.

- Check here if you didn't previously want \$3 to go to the fund, but now do.
- Check here if this is a joint return and your spouse did not previously want \$3 to go to the fund, but now does.

Part III Explanation of changes. In the space provided below, tell us why you are filing Form 1040X.

▶ Attach any supporting documents and new or changed forms and schedules.


I am hereby filing this 1040X to amend existing 2016 form 1040. Attached are supporting documents:

- 1) 1040X (2) pages
- 2) Substitute form 1040 (2) pages
- 3) Form 4852 (3) pages

Remember to keep a copy of this form for your records.

Under penalties of perjury, I declare that I have filed an original return and that I have examined this amended return, including accompanying schedules and statements, and to the best of my knowledge and belief, this amended return is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information about which the preparer has any knowledge.

Sign Here


3/6/19

 Your signature Date Spouse's signature. If a joint return, both must sign. Date

Paid Preparer Use Only

Self-Prepared
 Preparer's signature Date Firm's name (or yours if self-employed)

Print/type preparer's name Firm's address and ZIP code

Check if self-employed
 PTIN Phone number EIN

Your first name and Initial K	Last name (Lovins)	OMB No. 1545-0074
If a joint return, spouse's first name and Initial		Your social security number [REDACTED]
If a joint return, spouse's first name and Initial		Spouse's social security number [REDACTED]
Home address (number and street). If you have a P.O. box, see instructions. [REDACTED]		Apt. no.
City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions). Box Elder SD 57719		▲ Make sure the SSN(s) above and on line 6c are correct. Presidential Election Campaign Check here if you, or your spouse if filing jointly, want \$3 to go to this fund. Checking a box below will not change your tax or refund. <input type="checkbox"/> You <input type="checkbox"/> Spouse
Foreign country name	Foreign province/state/county	

Filing status Check only one box.

1 <input checked="" type="checkbox"/> Single 2 <input type="checkbox"/> Married filing jointly (even if only one had income) 3 <input type="checkbox"/> Married filing separately. Enter spouse's SSN above and full name here. ▶	4 <input type="checkbox"/> Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶ 5 <input type="checkbox"/> Qualifying widow(er) with dependent child (see instructions)
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Exemptions

6a Yourself. If someone can claim you as a dependent, **do not** check box 6a.

b Spouse

(1) First name		(2) Dependent's social security number	(3) Dependent's relationship to you	(4) <input checked="" type="checkbox"/> if child under age 17 qualifying for child tax credit (see instructions)
Last name				
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

If more than six dependents, see instructions.

Boxes checked on 6a and 6b: **1**
 No. of children on 6c who:
 • lived with you: _____
 • did not live with you due to divorce or separation (see instructions): _____
 Dependents on 6c not entered above: _____
 Add numbers on lines above ▶ **1**

d Total number of exemptions claimed.

Income

7 Wages, salaries, tips, etc. Attach Form(s) W-2.	7	0.
8a Taxable interest. Attach Schedule B if required.	8a	
b Tax-exempt interest. Do not include on line 8a.	8b	
9a Ordinary dividends. Attach Schedule B if required.	9a	
b Qualified dividends (see instructions).	9b	
10 Capital gain distributions (see instructions).	10	
11a IRA distributions.	11a	
11b Taxable amount (see instructions).	11b	
12a Pensions and annuities.	12a	17,981.
12b Taxable amount (see instructions).	12b	0.
13 Unemployment compensation and Alaska Permanent Fund dividends.	13	
14a Social security benefits.	14a	
14b Taxable amount (see instructions).	14b	
15 Add lines 7 through 14b (far right column). This is your total income .	15	0.

Adjusted gross income

16 Educator expenses (see instructions).	16	
17 IRA deduction (see instructions).	17	
18 Student loan interest deduction (see instructions).	18	
19 Tuition and fees. Attach Form 8917.	19	
20 Add lines 16 through 19. These are your total adjustments .	20	
21 Subtract line 20 from line 15. This is your adjusted gross income .	21	0.

Tax, credits, and payments

22 Enter the amount from line 21 (adjusted gross income). 22 0.

23a Check You were born before January 2, 1952, Blind } Total boxes
if: Spouse was born before January 2, 1952, Blind } checked ▶ 23a

b If you are married filing separately and your spouse itemizes deductions, check here ▶ 23b

Standard Deduction for—

• People who check any box on line 23a or 23b or who can be claimed as a dependent, see instructions.
• All others:
Single or Married filing separately, \$6,300
Married filing jointly or Qualifying widow(er), \$12,600
Head of household, \$9,300

24 Enter your standard deduction. 24 6,300.

25 Subtract line 24 from line 22. If line 24 is more than line 22, enter -0-. 25 0.

26 Exemptions. Multiply \$4,050 by the number on line 6d. 26 4,050.

27 Subtract line 26 from line 25. If line 26 is more than line 25, enter -0-. This is your taxable income. ▶ 27 0.

28 Tax, including any alternative minimum tax (see instructions). 28 0.

29 Excess advance premium tax credit repayment. Attach Form 8962. 29

30 Add lines 28 and 29. 30 0.

31 Credit for child and dependent care expenses. Attach Form 2441. 31

32 Credit for the elderly or the disabled. Attach Schedule R. 32

33 Education credits from Form 8863, line 19. 33

34 Retirement savings contributions credit. Attach Form 8880. 34

35 Child tax credit. Attach Schedule 8812, if required. 35

36 Add lines 31 through 35. These are your total credits. 36

37 Subtract line 36 from line 30. If line 36 is more than line 30, enter -0-. 37 0.

38 Health care: individual responsibility (see instructions). Full-year coverage 38

39 Add line 37 and line 38. This is your total tax. 39 0.

40 Federal income tax withheld from Forms W-2 and 1099. 40 8453

41 2016 estimated tax payments and amount applied from 2015 return. 41

42a Earned income credit (EIC). No 42a

b Nontaxable combat pay election. 42b

43 Additional child tax credit. Attach Schedule 8812. 43

44 American opportunity credit from Form 8863, line 8. 44

45 Net premium tax credit. Attach Form 8962. 45

46 Add lines 40, 41, 42a, 43, 44, and 45. These are your total payments. ▶ 46 8453.

Refund

47 If line 46 is more than line 39, subtract line 39 from line 46. This is the amount you overpaid. 47 8453.

48a Amount of line 47 you want refunded to you. If Form 8888 is attached, check here ▶ 48a 8453.

▶ **b** Routing number [redacted] ▶ **c** Type: Checking Savings

▶ **d** Account number [redacted] Old Info

49 Amount of line 47 you want applied to your 2017 estimated tax. 49

Amount you owe

50 Amount you owe. Subtract line 46 from line 39. For details on how to pay, see instructions. ▶ 50

51 Estimated tax penalty (see instructions). 51

Third party designee Do you want to allow another person to discuss this return with the IRS (see instructions)? Yes. Complete the following. No

Designee's name ▶ Phone no. ▶ Personal identification number (PIN) ▶

Sign here Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and accurately list all amounts and sources of income I received during the tax year. Declaration of preparer (other than the taxpayer) is based on all information of which the preparer has any knowledge.

Your signature [Signature] Date 3/6/19 Your occupation Shift Supervisor Daytime phone number [redacted]

Spouse's signature [Signature] Date Spouse's occupation Spouse's occupation If the IRS sent you an Identity Protection PIN, enter it here (see Inst.)

Preparer use only

Print/type preparer's name Preparer's signature Date Check if self-employed PTIN

Firm's name ▶ Self-Prepared Firm's EIN ▶

Firm's address ▶ Phone no.

Substitute for Form W-2, Wage and Tax Statement, or Form 1099-R, Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.

OMB No. 1545-0074

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 1040 or 1040X.
▶ Go to www.irs.gov/Form4852 for the latest information.

1 Name(s) shown on return K. [REDACTED] (Lovins)		2 Your social security number [REDACTED]	
3 Address [REDACTED] Box Elder, SD 57719			
4 Enter year in space provided and check one box. For the tax year ending December 31, <u>2016</u> , I have been unable to obtain (or have received an incorrect) <input checked="" type="checkbox"/> Form W-2 OR <input type="checkbox"/> Form 1099-R. I have notified the IRS of this fact. The amounts shown on line 7 or line 8 are my best estimates for all wages or payments made to me and tax withheld by my employer or payer named on line 5.			
5 Employer's or payer's name, address, and ZIP code [REDACTED] Altoona, PA 16602		6 Employer's or payer's TIN (if known) [REDACTED]	
7 Form W-2. Enter wages, tips, other compensation, and taxes withheld.			
a Wages, tips, and other compensation	<u>0</u>	f State income tax withheld	<u>913.46</u>
b Social security wages	<u>0</u>	(Name of state)	<u>MD</u>
c Medicare wages and tips	<u>0</u>	g Local income tax withheld	<u>N/A</u>
d Social security tips	<u>0</u>	(Name of locality)	
e Federal income tax withheld	<u>1615.57</u>	h Social security tax withheld	<u>844.13</u>
		i Medicare tax withheld	<u>197.42</u>
8 Form 1099-R. Enter distributions from pensions, annuities, retirement or profit-sharing plans, IRAs, insurance contracts, etc.			
a Gross distribution	_____	f Federal income tax withheld	_____
b Taxable amount	_____	g State income tax withheld	_____
c Taxable amount not determined	<input type="checkbox"/>	(Name of state)	_____
d Total distribution	<input type="checkbox"/>	h Local income tax withheld	_____
e Capital gain (included in line 8b)	_____	(Name of locality)	_____
		i Employee contributions	_____
		j Distribution codes	_____

9 How did you determine the amounts on lines 7 and 8 above?
From payer provided W-2. Earnings known to have been reported as "Wages" does not match "Wages" as defined in IRC sections 3401(a) or 3121. All other amounts are correct.

10 Explain your efforts to obtain Form W-2, Form 1099-R, or Form W-2c, Corrected Wage and Tax Statement.
None

General Instructions

Section references are to the Internal Revenue Code.

Future developments. For the latest information about developments related to Form 4852, such as legislation enacted after it was published, go to www.irs.gov/Form4852.

Purpose of form. Form 4852 serves as a substitute for Forms W-2, W-2c, and 1099-R and is completed by you or your representatives when (a) your employer or payer doesn't issue you a Form W-2 or Form 1099-R or (b) an employer or payer has issued an incorrect Form W-2 or Form 1099-R. Attach this form to the back of your income tax return before any supporting forms or schedules.

You should always attempt to get your Form W-2, Form W-2c, or Form 1099-R from your employer or payer before contacting the IRS or filing Form 4852. If you don't receive the missing or corrected form from your employer or payer by the end of February, you may call the IRS at 800-829-1040 for assistance. You must provide your name, address (including ZIP code), phone number, social security number, and dates of employment. You also must provide your employer's or payer's name, address (including ZIP code), and phone number. The IRS will contact your employer or payer and request the missing form. The IRS also will send you a Form 4852. If you don't receive the missing form in sufficient time to file your income tax return timely, you may use the Form 4852 that the IRS sent you.

If you received an incorrect Form W-2 or Form 1099-R, you should always attempt to have your employer or payer issue a corrected form before filing Form 4852.

Note: Retain a copy of Form 4852 for your records. To help protect your social security benefits, keep a copy of Form 4852 until you begin receiving social security benefits, just in case there is a question about your work record and/or earnings in a particular year. After September 30 following the date shown on line 4, you may use your Social Security online account to verify wages reported by your employers. Please visit www.SSA.gov/myaccount. Or, you may contact your local SSA office to verify wages reported by your employer.

Will I need to amend my return? If you receive a Form W-2, Form W-2c, or Form 1099-R after your return is filed with Form 4852, and the information you receive indicates that the information reported on your original return is incorrect, you must amend your return by filing Form 1040X, Amended U.S. Individual Income Tax Return. You are responsible for filing your income tax return with accurate information regardless of whether you receive a Form W-2, Form W-2c, or Form 1099-R and regardless of whether the information on any forms received is correct.

Penalties. The IRS will challenge the claims of individuals who attempt to avoid or evade their federal tax liability by using Form 4852 in a manner other than as prescribed. Potential penalties for the improper use of Form 4852 include:

Substitute for Form W-2, Wage and Tax Statement, or Form 1099-R, Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 1040 or 1040X.
▶ Go to www.irs.gov/Form4852 for the latest information.

1 Name(s) shown on return K. [REDACTED] (Lovins) 2 Your social security number [REDACTED]

3 Address [REDACTED] Box Elder, SD 57719

4 Enter year in space provided and check one box. For the tax year ending December 31, 2016,
I have been unable to obtain (or have received an incorrect) Form W-2 OR Form 1099-R.
I have notified the IRS of this fact. The amounts shown on line 7 or line 8 are my best estimates for all wages or payments made to me and tax withheld by my employer or payer named on line 5.

5 Employer's or payer's name, address, and ZIP code [REDACTED] San Jose, CA 95119 6 Employer's or payer's TIN (if known) [REDACTED]

7 Form W-2. Enter wages, tips, other compensation, and taxes withheld.

a Wages, tips, and other compensation	<u>0</u>	f State income tax withheld	<u>16.50</u>
b Social security wages	<u>0</u>	(Name of state)	<u>CA</u>
c Medicare wages and tips	<u>0</u>	g Local income tax withheld	<u>N/A</u>
d Social security tips	<u>0</u>	(Name of locality)	
e Federal income tax withheld	<u>217.91</u>	h Social security tax withheld	<u>149.64</u>
		i Medicare tax withheld	<u>35.00</u>

8 Form 1099-R. Enter distributions from pensions, annuities, retirement or profit-sharing plans, IRAs, insurance contracts, etc.

a Gross distribution	<u> </u>	f Federal income tax withheld	<u> </u>
b Taxable amount	<u> </u>	g State income tax withheld	<u> </u>
c Taxable amount not determined	<input type="checkbox"/>	(Name of state)	<u> </u>
d Total distribution	<input type="checkbox"/>	h Local income tax withheld	<u> </u>
e Capital gain (included in line 8b)	<u> </u>	(Name of locality)	<u> </u>
		i Employee contributions	<u> </u>
		j Distribution codes	<u> </u>

9 How did you determine the amounts on lines 7 and 8 above?
From payer provided W-2. Earnings known to have been reported as "Wages" do not match "Wages" as defined in IRC sec. 3401(a) or sec 3121. All other amounts are correct.

10 Explain your efforts to obtain Form W-2, Form 1099-R, or Form W-2c, Corrected Wage and Tax Statement.
None

General Instructions

Section references are to the Internal Revenue Code.

Future developments. For the latest information about developments related to Form 4852, such as legislation enacted after it was published, go to www.irs.gov/Form4852.

Purpose of form. Form 4852 serves as a substitute for Forms W-2, W-2c, and 1099-R and is completed by you or your representatives when (a) your employer or payer doesn't issue you a Form W-2 or Form 1099-R or (b) an employer or payer has issued an incorrect Form W-2 or Form 1099-R. Attach this form to the back of your income tax return before any supporting forms or schedules.

You should always attempt to get your Form W-2, Form W-2c, or Form 1099-R from your employer or payer before contacting the IRS or filing Form 4852. If you don't receive the missing or corrected form from your employer or payer by the end of February, you may call the IRS at 800-829-1040 for assistance. You must provide your name, address (including ZIP code), phone number, social security number, and dates of employment. You also must provide your employer's or payer's name, address (including ZIP code), and phone number. The IRS will contact your employer or payer and request the missing form. The IRS also will send you a Form 4852. If you don't receive the missing form in sufficient time to file your income tax return timely, you may use the Form 4852 that the IRS sent you.

If you received an incorrect Form W-2 or Form 1099-R, you should always attempt to have your employer or payer issue a corrected form before filing Form 4852.

Note: Retain a copy of Form 4852 for your records. To help protect your social security benefits, keep a copy of Form 4852 until you begin receiving social security benefits, just in case there is a question about your work record and/or earnings in a particular year. After September 30 following the date shown on line 4, you may use your Social Security online account to verify wages reported by your employers. Please visit www.SSA.gov/myaccount. Or, you may contact your local SSA office to verify wages reported by your employer.

Will I need to amend my return? If you receive a Form W-2, Form W-2c, or Form 1099-R after your return is filed with Form 4852, and the information you receive indicates that the information reported on your original return is incorrect, you must amend your return by filing Form 1040X, Amended U.S. Individual Income Tax Return. You are responsible for filing your income tax return with accurate information regardless of whether you receive a Form W-2, Form W-2c, or Form 1099-R and regardless of whether the information on any forms received is correct.

Penalties. The IRS will challenge the claims of individuals who attempt to avoid or evade their federal tax liability by using Form 4852 in a manner other than as prescribed. Potential penalties for the improper use of Form 4852 include:

Substitute for Form W-2, Wage and Tax Statement, or Form 1099-R, Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.

Department of the Treasury
Internal Revenue Service

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3 Address [REDACTED] Box Elder, SD 57719			
4 Enter year in space provided and check one box. For the tax year ending December 31, <u>2016</u> , I have been unable to obtain (or have received an incorrect) <input type="checkbox"/> Form W-2 OR <input checked="" type="checkbox"/> Form 1099-R. I have notified the IRS of this fact. The amounts shown on line 7 or line 8 are my best estimates for all wages or payments made to me and tax withheld by my employer or payer named on line 5.			
5 Employer's or payer's name, address, and ZIP code Principal Life Insurance Co. 711 High Street Des Moines, IA 50392		6 Employer's or payer's TIN (if known) [REDACTED]	
7 Form W-2. Enter wages, tips, other compensation, and taxes withheld.			
a Wages, tips, and other compensation _____	f State income tax withheld _____		
b Social security wages _____	(Name of state) . _____		
c Medicare wages and tips _____	g Local income tax withheld _____		
d Social security tips _____	(Name of locality) _____		
e Federal income tax withheld _____	h Social security tax withheld _____		
	i Medicare tax withheld _____		
8 Form 1099-R. Enter distributions from pensions, annuities, retirement or profit-sharing plans, IRAs, insurance contracts, etc.			
a Gross distribution <u>17980.80</u>	f Federal income tax withheld <u>5394.24</u>		
b Taxable amount <u>0.00</u>	g State income tax withheld <u>1393.51</u>		
c Taxable amount not determined <input type="checkbox"/>	(Name of state) . <u>MD</u>		
d Total distribution <input checked="" type="checkbox"/>	h Local income tax withheld <u>N/A</u>		
e Capital gain (included in line 8b) _____	(Name of locality) _____		
	i Employee contributions <u>0</u>		
	j Distribution codes <u>1</u>		

9 How did you determine the amounts on lines 7 and 8 above?
From payer provided 1099-R, Earnings were from Private sector work, not Federally Connected Employment. Earnings did not match "Wages" defined in IRC sec. 3401(a) or sec 3121. All other amounts are correct.

10 Explain your efforts to obtain Form W-2, Form 1099-R, or Form W-2c, Corrected Wage and Tax Statement.
None

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Section references are to the Internal Revenue Code.

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If you received an incorrect Form W-2 or Form 1099-R, you should always attempt to have your employer or payer issue a corrected form before filing Form 4852.

Note: Retain a copy of Form 4852 for your records. To help protect your social security benefits, keep a copy of Form 4852 until you begin receiving social security benefits, just in case there is a question about your work record and/or earnings in a particular year. After September 30 following the date shown on line 4, you may use your Social Security online account to verify wages reported by your employers. Please visit www.SSA.gov/myaccount. Or, you may contact your local SSA office to verify wages reported by your employer.

Will I need to amend my return? If you receive a Form W-2, Form W-2c, or Form 1099-R after your return is filed with Form 4852, and the information you receive indicates that the information reported on your original return is incorrect, you must amend your return by filing Form 1040X, Amended U.S. Individual Income Tax Return. You are responsible for filing your income tax return with accurate information regardless of whether you receive a Form W-2, Form W-2c, or Form 1099-R and regardless of whether the information on any forms received is correct.

Penalties. The IRS will challenge the claims of individuals who attempt to avoid or evade their federal tax liability by using Form 4852 in a manner other than as prescribed. Potential penalties for the improper use of Form 4852 include: