Form **1040** Department of the Treasury—Internal Revenue Service (99)
U.S. Individual Income Tax Return 2018

OMB No. 1545-0074 | IRS Use Only—Do not write or staple in this space.

Filing status: ☑ Single ☐ Married filing jointly ☐ Married filing separately ☐ Head of household ☐ Qualifying widow(er)

Your first name and initial	Last name	Your social security number
Scott B	Hannon	[redacted]

Your standard deduction: ☐ Someone can claim you as a dependent ☐ You were born before January 2, 1954 ☐ You are blind

If joint return, spouse's first name and initial	Last name	Spouse's social security number

Spouse standard deduction: ☐ Someone can claim your spouse as a dependent ☐ Spouse was born before January 2, 1954 ☐ Spouse is blind ☐ Spouse itemizes on a separate return or you were dual-status alien

☑ Full-year health care coverage or exempt (see inst.)

Home address (number and street). If you have a P.O. box, see instructions.	Apt. no.	Presidential Election Campaign (see inst.) ☐ You ☐ Spouse
3555 Grandview Pkwy	313	

City, town or post office, state, and ZIP code. If you have a foreign address, attach Schedule 6.
Birmingham, AL 35243

If more than four dependents, see inst. and ✓ here ▶ ☐

Dependents (see instructions):

(1) First name	Last name	(2) Social security number	(3) Relationship to you	(4) ✓ if qualifies for (see inst.): Child tax credit	Credit for other dependents
				☐	☐
				☐	☐
				☐	☐
				☐	☐

Sign Here

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, cor[redacted]yer) is based on all information of which preparer has any knowledge.

Joint return? See instructions. Keep a copy for your records.

[redacted] Date 1/30/19 Your occupation [redacted]

If the IRS sent you an Identity Protection PIN, enter it here (see inst.)

Spouse's signature. If a joint return, **both** must sign. Date Spouse's occupation

If the IRS sent you an Identity Protection PIN, enter it here (see inst.)

Paid Preparer Use Only

Preparer's name	Preparer's signature	PTIN	Firm's EIN	Check if:
Self-Prepared				☐ 3rd Party Designee
Firm's name ▶		Phone no.		☐ Self-employed
Firm's address ▶				

Attach Form(s) W-2. Also attach Form(s) W-2G and 1099-R if tax was withheld.	1 Wages, salaries, tips, etc. Attach Form(s) W-2	1	-0-	00
	2a Tax-exempt interest . . . 2a	b Taxable interest	2b	-0- 00
	3a Qualified dividends . . . 3a	b Ordinary dividends	3b	-0- 00
	4a IRAs, pensions, and annuities . 4a 19,231 01	b Taxable amount	4b	-0- 00
	5a Social security benefits . . 5a	b Taxable amount	5b	-0- 00
	6 Total income. Add lines 1 through 5. Add any amount from Schedule 1, line 22		6	-0- 00
Standard Deduction for— • Single or married filing separately, $12,000 • Married filing jointly or Qualifying widow(er), $24,000 • Head of household, $18,000 • If you checked any box under Standard deduction, see instructions.	7 Adjusted gross income. If you have no adjustments to income, enter the amount from line 6; otherwise, subtract Schedule 1, line 36, from line 6		7	-0- 00
	8 **Standard deduction or itemized deductions** (from Schedule A)		8	12,000 00
	9 Qualified business income deduction (see instructions)		9	-0- 00
	10 Taxable income. Subtract lines 8 and 9 from line 7. If zero or less, enter -0-		10	-0- 00
	11 a Tax (see inst.) -0- (check if any from: 1 ☐ Form(s) 8814 2 ☐ Form 4972 3 ☐) **b Add** any amount from Schedule 2 and check here ▶ ☐		11	-0- 00
	12 a Child tax credit/credit for other dependents **b Add** any amount from Schedule 3 and check here ▶ ☐		12	-0- 00
	13 Subtract line 12 from line 11. If zero or less, enter -0-		13	-0- 00
	14 Other taxes. Attach Schedule 4		14	-0- 00
	15 Total tax. Add lines 13 and 14		15	-0- 00
	16 Federal income tax withheld from Forms W-2 and 1099		16	36,641 00
	17 Refundable credits: a EIC (see inst.) b Sch. 8812 c Form 8863 **Add** any amount from Schedule 5		17	-0- 00
	18 Add lines 16 and 17. These are your total payments		18	36,641 00
Refund	19 If line 18 is more than line 15, subtract line 15 from line 18. This is the amount you **overpaid**		19	36,641 00
	20a Amount of line 19 you want **refunded to you.** If Form 8888 is attached, check here ▶ ☐		20a	36,641 00
Direct deposit? See instructions.	▶ b Routing number X X X X X X X X X ▶ c Type: ☐ Checking ☐ Savings			
	▶ d Account number X X X X X X X X X X X X X X X X X			
	21 Amount of line 19 you want **applied to your 2019 estimated tax** ▶ 21			
Amount You Owe	22 **Amount you owe.** Subtract line 18 from line 15. For details on how to pay, see instructions ▶		22	-0- 00
	23 Estimated tax penalty (see instructions) ▶ 23			

Form **4852**

(Rev. September 2018)

Department of the Treasury
Internal Revenue Service

Substitute for Form W-2, Wage and Tax Statement, or Form 1099-R, Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.

▶ Attach to Form 1040 or 1040X.

▶ Go to *www.irs.gov/Form4852* for the latest information.

OMB No. 1545-0074

1 Name(s) shown on return
Scott B Hannon

2 Your social security number
[redacted]

3 Address
3555 Grandview Pkwy, #313 Birmingham, AL 35243

4 Enter year in space provided and check one box. For the tax year ending December 31, 2018 ,
I have been unable to obtain (or have received an incorrect) ☐ Form W-2 **OR** ☑ Form 1099-R.
I have notified the IRS of this fact. The amounts shown on line 7 or line 8 are my best estimates for all wages or payments made to me and tax withheld by my employer or payer named on line 5.

5 Employer's or payer's name, address, and ZIP code
MASSMUTUAL RETIREMENT SERVICES
[redacted]

6 Employer's or payer's TIN (if known)
[redacted]

7 Form W-2. Enter wages, tips, other compensation, and taxes withheld.

a	Wages, tips, and other compensation		f	State income tax withheld	
b	Social security wages			(Name of state)	
c	Medicare wages and tips		g	Local income tax withheld	
d	Social security tips			(Name of locality)	
e	Federal income tax withheld		h	Social security tax withheld	
			i	Medicare tax withheld	

8 Form 1099-R. Enter distributions from pensions, annuities, retirement or profit-sharing plans, IRAs, insurance contracts, etc.

a	Gross distribution	19,231.01	f	Federal income tax withheld	3,846.20
b	Taxable amount	0	g	State income tax withheld	0.00
c	Taxable amount not determined	☐		(Name of state) Alabama	
d	Total distribution	☑	h	Local income tax withheld	
e	Capital gain (included in line 8b)			(Name of locality)	
			i	Employee contributions	
			j	Distribution codes	1

9 How did you determine the amounts on lines 7 and 8 above?

Records provided by the Payer listed on line 5.

10 Explain your efforts to obtain Form W-2, Form 1099-R, or Form W-2c, Corrected Wage and Tax Statement.

None

General Instructions

Section references are to the Internal Revenue Code.

Future developments. For the latest information about developments related to Form 4852, such as legislation enacted after it was published, go to *www.irs.gov/Form4852*.

Purpose of form. Form 4852 serves as a substitute for Forms W-2, W-2c, and 1099-R and is completed by you or your representatives when **(a)** your employer or payer doesn't issue you a Form W-2 or Form 1099-R or **(b)** an employer or payer has issued an incorrect Form W-2 or Form 1099-R. Attach this form to the back of your income tax return before any supporting forms or schedules.

You should always attempt to get your Form W-2, Form W-2c, or Form 1099-R from your employer or payer before contacting the IRS or filing Form 4852. If you don't receive the missing or corrected form from your employer or payer by the end of February, you may call the IRS at 800-829-1040 for assistance. You must provide your name, address (including ZIP code), phone number, social security number, and dates of employment. You also must provide your employer's or payer's name, address (including ZIP code), and phone number. The IRS will contact your employer or payer and request the missing form. The IRS also will send you a Form 4852. If you don't receive the missing form in sufficient time to file your income tax return timely, you may use the Form 4852 that the IRS sent you.

If you received an incorrect Form W-2 or Form 1099-R, you should always attempt to have your employer or payer issue a corrected form before filing Form 4852.

Note: Retain a copy of Form 4852 for your records. To help **protect your social security benefits,** keep a copy of Form 4852 until you begin receiving social security benefits, just in case there is a question about your work record and/or earnings in a particular year. After September 30 following the date shown on line 4, you may use your Social Security online account to verify wages reported by your employers. Please visit *www.SSA.gov/myaccount.* Or, you may contact your local SSA office to verify wages reported by your employer.

Will I need to amend my return? If you receive a Form W-2, Form W-2c, or Form 1099-R after your return is filed with Form 4852, and the information you receive indicates that the information reported on your original return is incorrect, you must amend your return by filing Form 1040X, Amended U.S. Individual Income Tax Return. You are responsible for filing your income tax return with accurate information regardless of whether you receive a Form W-2, Form W-2c, or Form 1099-R and regardless of whether the information on any forms received is correct.

Penalties. The IRS will challenge the claims of individuals who attempt to avoid or evade their federal tax liability by using Form 4852 in a manner other than as prescribed. Potential penalties for the improper use of Form 4852 include:

Form **4852** (Rev. September 2018) Department of the Treasury Internal Revenue Service

Substitute for Form W-2, Wage and Tax Statement, or Form 1099-R, Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.

▶ Attach to Form 1040 or 1040X.
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5 Employer's or payer's name, address, and ZIP code
[redacted]

6 Employer's or payer's TIN (if known)
[redacted]

7 Form W-2. Enter wages, tips, other compensation, and taxes withheld.

a	Wages, tips, and other compensation	0	**f**	State income tax withheld	5,009.09
b	Social security wages	0		(Name of state)	Alabama
c	Medicare wages and tips	0	**g**	Local income tax withheld	1,304.64
d	Social security tips	0		(Name of locality)	Birmingham City
e	Federal income tax withheld	22,942.00	**h**	Social security tax withheld	7,960.80
			i	Medicare tax withheld	1,891.71

8 Form 1099-R. Enter distributions from pensions, annuities, retirement or profit-sharing plans, IRAs, insurance contracts, etc.

a	Gross distribution		**f**	Federal income tax withheld	
b	Taxable amount		**g**	State income tax withheld	
c	Taxable amount not determined	☐		(Name of state)	
d	Total distribution	☐	**h**	Local income tax withheld	
e	Capital gain (included in line 8b)			(Name of locality)	
			i	Employee contributions	
			j	Distribution codes	

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10 Explain your efforts to obtain Form W-2, Form 1099-R, or Form W-2c, Corrected Wage and Tax Statement.
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